our Statement Checklist

STATEMENT & DATA

Description

This checklist is intended to help you collect the statements needed to develop your Wealth & Legacy Strategy.

If you require assistance with certain items (i.e., gathering your Social Security Benefits statement), please reach out to us – we welcome the opportunity to assist you in gathering and sorting your documents.

Please use secure email when sending sensitive documents.

Current:

- ☐ W2 / 1099
- ☐ Rental Income
- ☐ Consulting
- ☐ Other

Retirement:

- ☐ Social Security Income
- ☐ Pension & Royalties
- ☐ Annuity & Alimony
- ☐ Rental Income
- ☐ Other

Tax Returns:

- ☐ Personal
- ☐ Business

Installment:

- ☐ Mortgages
- ☐ Equity lines of credit
- ☐ Vehicle loans
- ☐ Education or student
- ☐ Other_____

Revolving:

- ☐ Credit cards
- ☐ Personal lines of credit
- ☐ Other_____

Business:

- ☐ Business debt
- ☐ Other_____

Property:

- ☐ Home
- ☐ Auto
- ☐ Umbrella

Life & Health:

- ☐ Life insurance & group term
- ☐ Long - Term Care
- ☐ Disability
- ☐ HSA
- ☐ Other_____

Will & Estate:

- ☐ Final Will & Testament
- ☐ Power of Attorney
- ☐ Trusts
- ☐ Health Care Directive

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Private Wealth

INVESTMENTS

Cash/Current:

- ☐ Checking Account
- ☐ Savings Account

Taxable:

- ☐ Brokerage Accounts
- ☐ Joint Accounts
- ☐ Individual Accounts

Retirement:

- ☐ Employer-sponsored plans 401k /403b
- ☐ Traditional & Roth IRAs
- ☐ Tax-deferred accounts

Education & Charitable:

- ☐ 529 College Savings Plan
- ☐ Charitable Trust

- ☐ Personal Property & Collectibles
- ☐ Real Estate
- ☐ Business
- ☐ Inheritance or Gift
- ☐ Other_____

On behalf of Clients, we search for Unclaimed Funds in their previous States of Residence.

If you would like us to search the databases for you please provide us with a list of the states in which you have had domicile.

Notes & Context

67%
of Americans do not have a written financial plan*

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Disclosures:

This document is being offered strictly for educational purposes and not as investment advice; your specific situation has not been considered; we do not intend for this to be con strued as financial advice. We urge you to consult with your financial advi- sor, legal advisor, and tax professional. Shadowbrook Private Wealth LLC does not provide tax advice or legal guidance.

Investments are:

• are not insured by the FDIC;
• are not deposits or other obligations of the institution and are not guaranteed by the in stitution; &
• are subject to investment risks, including possible loss of the principal invested.